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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/681,422	10/08/2003	William J. van Ooij	UOC / 171	7421
26875 75	590 03/10/2006		EXAMINER	
WOOD, HERRON & EVANS, LLP 2700 CAREW TOWER			FEELY, MICHAEL J	
441 VINE STREET			ART UNIT	PAPER NUMBER
CINCINNATI,	OH 45202		1712	

DATE MAILED: 03/10/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Ap	plication No.	Applicant(s)	
10	)/681,422	VAN OOIJ ET AL.	
Office Action Summary Ex	aminer	Art Unit	
Mid	chael J. Feely	1712	
The MAILING DATE of this communication appears Period for Reply	on the cover sheet with	the correspondence address	
A SHORTENED STATUTORY PERIOD FOR REPLY IS WHICHEVER IS LONGER, FROM THE MAILING DATE - Extensions of time may be available under the provisions of 37 CFR 1.136(a). after SIX (6) MONTHS from the mailing date of this communication If NO period for reply is specified above, the maximum statutory period will app - Failure to reply within the set or extended period for reply will, by statute, caus Any reply received by the Office later than three months after the mailing date earned patent term adjustment. See 37 CFR 1.704(b).	OF THIS COMMUNICA In no event, however, may a reply ply and will expire SIX (6) MONTHS e the application to become ABAN	TION.  be timely filed  from the mailing date of this communication  DONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on 03 Janua	nry 2006.		
2a)☐ This action is <b>FINAL</b> . 2b)☒ This acti	on is non-final.		
3) Since this application is in condition for allowance	except for formal matters	s, prosecution as to the merits i	is
closed in accordance with the practice under Ex pa	arte Quayle, 1935 C.D. 1	1, 453 O.G. 213.	
Disposition of Claims			
4)⊠ Claim(s) <u>1-96</u> is/are pending in the application.			_
4a) Of the above claim(s) 1-25,45 and 57-96 is/are	withdrawn from consider	ration.	
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>26-44 and 46-56</u> is/are rejected.		•	
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction and/or ele	ction requirement.		
Application Papers			
9)☐ The specification is objected to by the Examiner.			
10)⊠ The drawing(s) filed on <u>08 October 2003</u> is/are: a)[	accepted or b) □ obje	ected to by the Examiner.	
Applicant may not request that any objection to the draw	•		
Replacement drawing sheet(s) including the correction is	s required if the drawing(s)	is objected to. See 37 CFR 1.121(	(d).
11)☐ The oath or declaration is objected to by the Exami	ner. Note the attached C	Office Action or form PTO-152.	
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign prio	ority under 35 U.S.C. § 1	19(a)-(d) or (f).	•~
a) ☐ All b) ☐ Some * c) ☐ None of:			
<ol> <li>Certified copies of the priority documents ha</li> </ol>	ve been received.		
2. Certified copies of the priority documents ha	ve been received in App	lication No	
3. Copies of the certified copies of the priority of		ceived in this National Stage	
application from the International Bureau (PC	` ''		
* See the attached detailed Office action for a list of the	ie certified copies not red	ceived.	
Attachment(s)			
1) Notice of References Cited (PTO-892)	4) Interview Sum	nmary (PTO-413)	
2) D Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/M	fail Date	_=
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 0104(2),1205.	5)	mal Patent Application (PTO-152)	
U.S. Patent and Trademark Office			

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#### **DETAILED ACTION**

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#### Election/Restrictions

1. Applicant's election without traverse of Group II (claims 26-44 and 46-56) in the reply filed on January 3, 2006 is acknowledged.

## Claim Rejections - 35 USC § 103

- 2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 3. Claims 26-44 and 46-56 are rejected under 35 U.S.C. 103(a) as being unpatentable over Van Ooij et al. (WO 00/63462) in view of Shimakura et al. (US Pat. No. 6,475,300).

Regarding claims 26-35, 42, 43, and 44, Van Ooij et al. disclose: (26) a method of bonding a polymeric material to a metal substrate (Abstract), the method comprising: (a) applying a silane solution comprising a substantially hydrolyzed amino-silane and a substantially hydrolyzed sulfur-containing silane to at least a portion of a surface of a metal substrate (page 10, line 21 through page 18, line 22); (2) drying the silane solution on the metal substrate to form a coating (page 14, lines 3-13); and (3) applying an uncured polymeric material onto the surface of the metal substrate having the coating thereon and curing the polymeric material to bond the polymeric material to the coated metal substrate (page 14, line 14 through page 16, line 2);

(27) further comprising, prior to applying the solution: mixing an amino-silane and a sulfur-containing silane separately with an aqueous-based medium to substantially hydrolyze the amino-silane and the sulfur silane; and mixing the hydrolyzed amino-silane and the hydrolyzed

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sulfur-containing silane together to form the solution to be applied to the metal substrate (page 11, lines 1-13); (28) wherein the aqueous-based medium comprises water and alcohol (page 11, lines 1-13); (29) wherein the amino-silane is a compound of the general formula (I) see claim for details (page 16, line 3 through page 17, line 13); (30) wherein the amino silane is selected from the group consisting bis(trimethoxysilylpropyl)ethylene diamine, bis(trimethoxysilylpropyl) amine, N-methyl-aminopropyltriethoxysilane, and combinations thereof (page 16, line 3 through page 17, line 13); (31) wherein the sulfur-containing silane is a compound of the general formula (II) see claim for details (page 17, line 14 through page 18, line 22); (32) wherein the sulfur-containing silane is selected from the group consisting of bis(trimethoxysilylpropyl) disulfide, bis(trimethoxysilylpropyl) tetrasulfide, and combinations thereof (page 17, line 14 through page 18, line 22);

- (33) wherein the solution comprises a ratio of the hydrolyzed amino-silane to the hydrolyzed sulfur-containing silane in a range from about 1:4 to about 4:1 by volume (page 13, lines 6-10);
- (34) wherein the solution comprises a ratio of the hydrolyzed amino-silane to the hydrolyzed sulfur-containing silane of about 1:1 by volume (page 13, lines 6-10);
- (35) wherein applying the solution to the metal substrate comprises dipping the metal substrate in the solution (page 13, lines 21-28);
- (43) wherein curing comprises applying heat and pressure to the polymeric material and coated metal substrate to form a bond there between (page 24, lines 20-26); and
  - (44) wherein the polymeric material is rubber (page 15, line 3 through page 16, line 2).

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Van Ooij et al. fail to explicitly disclose: (26) a coating thickness in the range from about 0.1  $\mu$ m to about 1  $\mu$ m; and (42) a coating thickness in the range from about 0.2  $\mu$ m to about 0.6  $\mu$ m.

Shimakura et al. also disclose a silane-based intermediate (primer) layer for metal substrates (Abstract; column 2, lines 29-32). After the silane-based treatment is applied, a topcoat is applied, wherein the silane-based coating imparts corrosion resistance to the metal substrate. Furthermore, they demonstrate that the coating thickness of the primer layer is a result effective variable. If the thickness is too thin, corrosion resistance is insufficient, and if the thickness is too thick, adhesion properties may be compromised (column 6, lines 5-9).

In light of this, it has been found that, "[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation," – *In re Aller*, 220 F.2d 454, 456, 105 USPQ 233, 235 (CCPA 1955); and "A particular parameter must first be recognized as a result-effective variable, i.e., a variable which achieves a recognized result, before the determination of the optimum or workable ranges of said variable might be characterized as routine experimentation," – *In re Boesch*, 617 F.2d 272, 205 USPQ 215 (CCPA 1980).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to provide an optimized coating thickness the in process of Van Ooij et al. because the teachings of Shimakura et al. demonstrate that the primer thickness is a result effective variable, ensuring sufficient corrosion resistance and adhesion properties.

<u>Regarding claims 36-40</u>, Van Ooij et al. are silent regarding: (36) the presence of a nanosize particulate material in the silane solution; (37) wherein the nano-size particulate material is

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selected from the group consisting of silica, zinc oxide, and combinations thereof; (38) wherein the nano-size particulate material has an average particle size of about 0.1 µm or less; (39) wherein the nano-size particulate material is silica in a concentration range from about 10 ppm to about 1% by weight of the solution; and (40) wherein the nano-size particulate material is silica and in a concentration range from about 50 ppm to about 1000 ppm of the solution.

As discussed above, Shimakura et al. disclose an analogous silane solution used as a primer for metal substrates and polymeric coatings. In addition to their silanes, they disclose, "The metallic surface-treatment agent of the present invention comprises water-dispersible silica. The water-dispersible silica which can be used is not particularly restricted... The spherical silica includes colloidal silica such as *Snowtex N, Snowtex UP*... The above water-dispersible silica is formulated in a concentration of 0.05 to 100 g/l, preferably 0.5 to 60 g/l... If the concentration of water-dispersible silica is less than 0.05 g/l, the corrosion resistance-improving effect will be insufficient, while the use of silica in excess of 100 g/l will not be rewarded with any further improvement in corrosion resistance but rather detract from bath stability of the metallic surface-treating agent," (column 3, lines 19-40)

The teachings of Shimakura et al. demonstrate the following: (1) they add nano-size silica having an average particle size of about 0.1 µm or less (see product sheet for Snowtex products) to provide a corrosion resistance property to their silane-based primer; and (2) the concentration of the silica nano-particles is a result effective variable, ensuring desired corrosion-resistance and bath stability.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a nano-size silica having an average particle size of about 0.1 µm or less

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(limitations of claims 36-38), as taught by Shimakura et al., in the solution used in the method of Van Ooij et al. because Shimakura et al. disclose an analogous silane-based primer, wherein these silica materials are added as a surface-treating agent, resulting in corrosion resistance for the primed and top-coated metal substrate.

Furthermore, it would have been obvious to one of ordinary skill in the art at the time of the invention to provide these silica nano-particles in an optimized concentration range (limitations of claims 39-40) in the solution used in the method of Van Ooij et al. because the teachings of Shimakura et al. demonstrate that this concentration range is a result effective variable, ensuring corrosion-resistance and bath stability.

<u>Regarding claim 41</u>, Van Ooij et al. fail to explicitly disclose: (41) wherein drying comprises heating the silane solution on the metal substrate to a temperature of at least about 60°C.

Shimakura et al. also discuss drying conditions for their analogous silane-based primer. They disclose, "the drying procedure can be carried out at room temperature to 250°C for 2 seconds to 5 minutes. If the limit of 250°C is exceeded, adhesion and corrosion resistance will be adversely affected. Preferred conditions are 40~180°C x 5 seconds ~ 2 minutes," (column 5, lines 29-33).

The teachings of Shimakura et al. demonstrate that drying temperature is a result effective variable, ensuring proper adhesion and corrosion resistance.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to dry the silane-based coating in the method of Van Ooij et al. at an optimized

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temperature because the teachings of Shimakura et al. demonstrate that this temperature range is a result effective variable, ensuring proper adhesion and corrosion resistance.

<u>Regarding claims 46-56</u>, the combined teachings of Ooij et al. and Shimakura et al. are as set forth above and incorporated herein to obviously satisfy all of the limitations set forth in claims 46-56.

4. Claims 26-42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Van Ooij et al. (WO 00/63303) in view of Shimakura et al. (US Pat. No. 6,475,300). The Van Ooij et al. reference was cited as an X-reference in a related international search report.

Regarding claims 26-35 and 42, Van Ooij et al. disclose: (26) a method of bonding a polymeric material to a metal substrate (Abstract), the method comprising: (a) applying a silane solution comprising a substantially hydrolyzed amino-silane and a substantially hydrolyzed sulfur-containing silane to at least a portion of a surface of a metal substrate (page 15, lines 15-29); (2) drying the silane solution on the metal substrate to form a coating (page 16, lines 19-31); and (3) applying an uncured polymeric material onto the surface of the metal substrate having the coating thereon and curing the polymeric material to bond the polymeric material to the coated metal substrate (page 17, line 1 through page 18, line 2);

(27) further comprising, prior to applying the solution: mixing an amino-silane and a sulfur-containing silane separately with an aqueous-based medium to substantially hydrolyze the amino-silane and the sulfur silane; and mixing the hydrolyzed amino-silane and the hydrolyzed sulfur-containing silane together to form the solution to be applied to the metal substrate (page 15, lines 15-29); (28) wherein the aqueous-based medium comprises water and alcohol (page 15,

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lines 15-29); (29) wherein the amino-silane is a compound of the general formula (I) see claim for details (page 10, line 5 through page 11, line 21); (30) wherein the amino silane is selected from the group consisting bis(trimethoxysilylpropyl)ethylene diamine, bis(trimethoxysilylpropyl) amine, N-methyl-aminopropyltriethoxysilane, and combinations thereof (page 10, line 5 through page 11, line 21); (31) wherein the sulfur-containing silane is a compound of the general formula (II) see claim for details (page 12, line 1 through page 13, line 3); (32) wherein the sulfur-containing silane is selected from the group consisting of bis(trimethoxysilylpropyl) disulfide, bis(trimethoxysilylpropyl) tetrasulfide, and combinations thereof (page 12, line 1 through page 13, line 3);

- (33) wherein the solution comprises a ratio of the hydrolyzed amino-silane to the hydrolyzed sulfur-containing silane in a range from about 1:4 to about 4:1 by volume (page 14, lines 17-24);
- (34) wherein the solution comprises a ratio of the hydrolyzed amino-silane to the hydrolyzed sulfur-containing silane of about 1:1 by volume (page 14, lines 17-24); and
- (35) wherein applying the solution to the metal substrate comprises dipping the metal substrate in the solution (page 16, lines 4-11).

Van Ooij et al. fail to explicitly disclose: (26) a coating thickness in the range from about 0.1  $\mu$ m to about 1  $\mu$ m; and (42) a coating thickness in the range from about 0.2  $\mu$ m to about 0.6  $\mu$ m.

Shimakura et al. also disclose a silane-based intermediate (*primer*) layer for metal substrates (Abstract; column 2, lines 29-32). After the silane-based treatment is applied, a topcoat is applied, wherein the silane-based coating imparts corrosion resistance to the metal

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substrate. Furthermore, they demonstrate that the coating thickness of the primer layer is a result effective variable. If the thickness is too thin, corrosion resistance is insufficient, and if the thickness is too thick, adhesion properties may be compromised (column 6, lines 5-9).

In light of this, it has been found that, "[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation," – *In re Aller*, 220 F.2d 454, 456, 105 USPQ 233, 235 (CCPA 1955); and "A particular parameter must first be recognized as a result-effective variable, i.e., a variable which achieves a recognized result, before the determination of the optimum or workable ranges of said variable might be characterized as routine experimentation," – *In re Boesch*, 617 F.2d 272, 205 USPQ 215 (CCPA 1980).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to provide an optimized coating thickness the in process of Van Ooij et al. because the teachings of Shimakura et al. demonstrate that the primer thickness is a result effective variable, ensuring sufficient corrosion resistance and adhesion properties.

Regarding claims 36-40, Van Ooij et al. are silent regarding: (36) the presence of a nanosize particulate material in the silane solution; (37) wherein the nano-size particulate material is selected from the group consisting of silica, zinc oxide, and combinations thereof; (38) wherein the nano-size particulate material has an average particle size of about 0.1 μm or less; (39) wherein the nano-size particulate material is silica in a concentration range from about 10 ppm to about 1% by weight of the solution; and (40) wherein the nano-size particulate material is silica and in a concentration range from about 50 ppm to about 1000 ppm of the solution.

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As discussed above, Shimakura et al. disclose an analogous silane solution used as a primer for metal substrates and polymeric coatings. In addition to their silanes, they disclose, "The metallic surface-treatment agent of the present invention comprises water-dispersible silica. The water-dispersible silica which can be used is not particularly restricted...The spherical silica includes colloidal silica such as *Snowtex N, Snowtex UP*...The above water-dispersible silica is formulated in a concentration of 0.05 to 100 g/l, preferably 0.5 to 60 g/l...If the concentration of water-dispersible silica is less than 0.05 g/l, the corrosion resistance-improving effect will be insufficient, while the use of silica in excess of 100 g/l will not be rewarded with any further improvement in corrosion resistance but rather detract from bath stability of the metallic surface-treating agent," (column 3, lines 19-40)

The teachings of Shimakura et al. demonstrate the following: (1) they add nano-size silica having an average particle size of about 0.1 µm or less (see product sheet for Snowtex products) to provide a corrosion resistance property to their silane-based primer; and (2) the concentration of the silica nano-particles is a result effective variable, ensuring desired corrosion-resistance and bath stability.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a nano-size silica having an average particle size of about 0.1 µm or less (limitations of claims 36-38), as taught by Shimakura et al., in the solution used in the method of Van Ooij et al. because Shimakura et al. disclose an analogous silane-based primer, wherein these silica materials are added as a surface-treating agent, resulting in corrosion resistance for the primed and top-coated metal substrate.

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Furthermore, it would have been obvious to one of ordinary skill in the art at the time of the invention to provide these silica nano-particles in an optimized concentration range (limitations of claims 39-40) in the solution used in the method of Van Ooij et al. because the teachings of Shimakura et al. demonstrate that this concentration range is a result effective variable, ensuring corrosion-resistance and bath stability.

<u>Regarding claim 41</u>, Van Ooij et al. fail to explicitly disclose: (41) wherein drying comprises heating the silane solution on the metal substrate to a temperature of at least about 60°C.

Shimakura et al. also discuss drying conditions for their analogous silane-based primer. They disclose, "the drying procedure can be carried out at room temperature to 250°C for 2 seconds to 5 minutes. If the limit of 250°C is exceeded, adhesion and corrosion resistance will be adversely affected. Preferred conditions are 40~180°C x 5 seconds ~ 2 minutes," (column 5, lines 29-33).

The teachings of Shimakura et al. demonstrate that drying temperature is a result effective variable, ensuring proper adhesion and corrosion resistance.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to dry the silane-based coating in the method of Van Ooij et al. at an optimized temperature because the teachings of Shimakura et al. demonstrate that this temperature range is a result effective variable, ensuring proper adhesion and corrosion resistance.

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5. Claims 26-44 and 46-56 are rejected under 35 U.S.C. 103(a) as being unpatentable over Van Ooij et al. (US Pat. No. 6,416,869) in view of Shimakura et al. (US Pat. No. 6,475,300). This is a US-equivalent of another X-reference cited in the international search report.

Regarding claims 26-35, 42, 43, and 44, Van Ooij et al. disclose: (26) a method of bonding a polymeric material to a metal substrate (Abstract), the method comprising: (a) applying a silane solution comprising a substantially hydrolyzed amino-silane and a substantially hydrolyzed sulfur-containing silane to at least a portion of a surface of a metal substrate (column 6, line 28 through column 11, line 46); (2) drying the silane solution on the metal substrate to form a coating (column 8, lines 37-51); and (3) applying an uncured polymeric material onto the surface of the metal substrate having the coating thereon and curing the polymeric material to bond the polymeric material to the coated metal substrate (column 8, line 52 through column 9, line 52);

(27) further comprising, prior to applying the solution: mixing an amino-silane and a sulfur-containing silane separately with an aqueous-based medium to substantially hydrolyze the amino-silane and the sulfur silane; and mixing the hydrolyzed amino-silane and the hydrolyzed sulfur-containing silane together to form the solution to be applied to the metal substrate (column 6, lines 43-47); (28) wherein the aqueous-based medium comprises water and alcohol (column 6, lines 29-47); (29) wherein the amino-silane is a compound of the general formula (I) see claim for details (column 9, line 42 through column 10, line 65); (30) wherein the amino silane is selected from the group consisting bis(trimethoxysilylpropyl)ethylene diamine, bis(trimethoxysilylpropyl) amine, N-methyl-aminopropyltriethoxysilane, and combinations thereof (column 9, line 42 through column 10, line 65); (31) wherein the sulfur-containing silane

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is a compound of the general formula (II) see claim for details (column 10, line 66 though column 12, line 27); (32) wherein the sulfur-containing silane is selected from the group consisting of bis(trimethoxysilylpropyl) disulfide, bis(trimethoxysilylpropyl) tetrasulfide, and combinations thereof (column 10, line 66 though column 12, line 27);

- (33) wherein the solution comprises a ratio of the hydrolyzed amino-silane to the hydrolyzed sulfur-containing silane in a range from about 1:4 to about 4:1 by volume (column 7, line 59 through column 8, line 18);
- (34) wherein the solution comprises a ratio of the hydrolyzed amino-silane to the hydrolyzed sulfur-containing silane of about 1:1 by volume (column 7, line 59 through column 8, line 18);
- (35) wherein applying the solution to the metal substrate comprises dipping the metal substrate in the solution (column 8, line 19-36);
- (43) wherein curing comprises applying heat and pressure to the polymeric material and coated metal substrate to form a bond there between (column 15, lines 10-18); and
  - (44) wherein the polymeric material is rubber (column 9, lines 12-52).

Van Ooij et al. fail to explicitly disclose: (26) a coating thickness in the range from about 0.1  $\mu$ m to about 1  $\mu$ m; and (42) a coating thickness in the range from about 0.2  $\mu$ m to about 0.6  $\mu$ m.

Shimakura et al. also disclose a silane-based intermediate (primer) layer for metal substrates (Abstract; column 2, lines 29-32). After the silane-based treatment is applied, a topcoat is applied, wherein the silane-based coating imparts corrosion resistance to the metal substrate. Furthermore, they demonstrate that the coating thickness of the primer layer is a result

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effective variable. If the thickness is too thin, corrosion resistance is insufficient, and if the thickness is too thick, adhesion properties may be compromised (column 6, lines 5-9).

In light of this, it has been found that, "[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation," – In re Aller, 220 F.2d 454, 456, 105 USPQ 233, 235 (CCPA 1955); and "A particular parameter must first be recognized as a result-effective variable, i.e., a variable which achieves a recognized result, before the determination of the optimum or workable ranges of said variable might be characterized as routine experimentation," - In re Boesch, 617 F.2d 272, 205 USPQ 215 (CCPA 1980).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to provide an optimized coating thickness the in process of Van Ooij et al. because the teachings of Shimakura et al. demonstrate that the primer thickness is a result effective variable, ensuring sufficient corrosion resistance and adhesion properties.

Regarding claims 36-40, Van Ooij et al. are silent regarding: (36) the presence of a nanosize particulate material in the silane solution; (37) wherein the nano-size particulate material is selected from the group consisting of silica, zinc oxide, and combinations thereof; (38) wherein the nano-size particulate material has an average particle size of about 0.1 µm or less; (39) wherein the nano-size particulate material is silica in a concentration range from about 10 ppm to about 1% by weight of the solution; and (40) wherein the nano-size particulate material is silica and in a concentration range from about 50 ppm to about 1000 ppm of the solution.

As discussed above, Shimakura et al. disclose an analogous silane solution used as a primer for metal substrates and polymeric coatings. In addition to their silanes, they disclose,

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"The metallic surface-treatment agent of the present invention comprises water-dispersible silica. The water-dispersible silica which can be used is not particularly restricted...The spherical silica includes colloidal silica such as *Snowtex N, Snowtex UP*...The above water-dispersible silica is formulated in a concentration of 0.05 to 100 g/l, preferably 0.5 to 60 g/l...If the concentration of water-dispersible silica is less than 0.05 g/l, the corrosion resistance-improving effect will be insufficient, while the use of silica in excess of 100 g/l will not be rewarded with any further improvement in corrosion resistance but rather detract from bath stability of the metallic surface-treating agent," (column 3, lines 19-40)

The teachings of Shimakura et al. demonstrate the following: (1) they add nano-size silica having an average particle size of about 0.1 µm or less (see product sheet for Snowtex products) to provide a corrosion resistance property to their silane-based primer; and (2) the concentration of the silica nano-particles is a result effective variable, ensuring desired corrosion-resistance and bath stability.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a nano-size silica having an average particle size of about 0.1 µm or less (limitations of claims 36-38), as taught by Shimakura et al., in the solution used in the method of Van Ooij et al. because Shimakura et al. disclose an analogous silane-based primer, wherein these silica materials are added as a surface-treating agent, resulting in corrosion resistance for the primed and top-coated metal substrate.

Furthermore, it would have been obvious to one of ordinary skill in the art at the time of the invention to provide these silica nano-particles in an optimized concentration range (limitations of claims 39-40) in the solution used in the method of Van Ooij et al. because the

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teachings of Shimakura et al. demonstrate that this concentration range is a result effective variable, ensuring corrosion-resistance and bath stability.

<u>Regarding claim 41</u>, Van Ooij et al. fail to explicitly disclose: (41) wherein drying comprises heating the silane solution on the metal substrate to a temperature of at least about 60°C.

Shimakura et al. also discuss drying conditions for their analogous silane-based primer. They disclose, "the drying procedure can be carried out at room temperature to 250°C for 2 seconds to 5 minutes. If the limit of 250°C is exceeded, adhesion and corrosion resistance will be adversely affected. Preferred conditions are 40~180°C x 5 seconds ~ 2 minutes," (column 5, lines 29-33).

The teachings of Shimakura et al. demonstrate that drying temperature is a result effective variable, ensuring proper adhesion and corrosion resistance.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to dry the silane-based coating in the method of Van Ooij et al. at an optimized temperature because the teachings of Shimakura et al. demonstrate that this temperature range is a result effective variable, ensuring proper adhesion and corrosion resistance.

<u>Regarding claims 46-56</u>, the combined teachings of Ooij et al. and Shimakura et al. are as set forth above and incorporated herein to obviously satisfy all of the limitations set forth in claims 46-56.

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### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael J. Feely whose telephone number is 571-272-1086. The examiner can normally be reached on M-F 8:30 to 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Randy Gulakowski can be reached on 571-272-1302. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Michael J. Feely Primary Examiner Art Unit 1712

MICHAEL FEELY PRIMARY EXAMINER